

Delegation Order 4-41

Effective: April 7, 2008

- (1) **Formal Written Request for Financial Institution Records where Summons Authority is Not Available.**
- (2) **Authority:** To authorize the issuance to a financial institution, when summons authority does not apply, of a formal written request for records relating to financial accounts under 31 C.F.R. Part 14, implementing the formal written request procedures of 12 U.S.C. 3402(5) and 3408; and to certify that all the requirements of 31 CFR Part 14 have been complied with.
- (3) **Delegated to:** Directors (Large & Mid-Size Business; Small Business/Self-Employed; Tax Exempt & Government Entities; Wage & Investment; and Criminal Investigation); Territory Managers (Large & Mid-Size Business; Small Business/Self-Employed; Wage & Investment); Area Managers (Small Business/Self-Employed; and Tax Exempt & Government Entities); and Special Agents in Charge (Criminal Investigation).
- (4) **Redelegation:** This authority may not be redelegated.
- (5) **Authority:** To serve a formal written request for the production of documents, to make inquiry as may be relevant or material to the production of these documents, and to receive and examine data.
- (6) **Delegated to:** Revenue Agents (Large & Mid-Size Business; Small Business/Self-Employed; Tax Exempt & Government Entities; and Wage & Investment); Revenue Officers (Large & Mid-Size Business; Small Business/Self-Employed; Tax Exempt & Government Entities; and Wage & Investment); Compliance Officers (Large & Mid-Size Business; Small Business/Self-Employed; Tax Exempt & Government Entities; and Wage & Investment); and Special Agents (Criminal Investigation).
- (7) **Redelegation:** This authority may not be redelegated.
- (8) **Sources of Authority:** 31 CFR Part 14; 12 USC 3402(5) and 3408.
- (9) To the extent that any action previously exercised consistent with this Order may require ratification, it is hereby affirmed and ratified.
- (10) **Signed:** Linda E. Stiff, Deputy Commissioner for Services and Enforcement